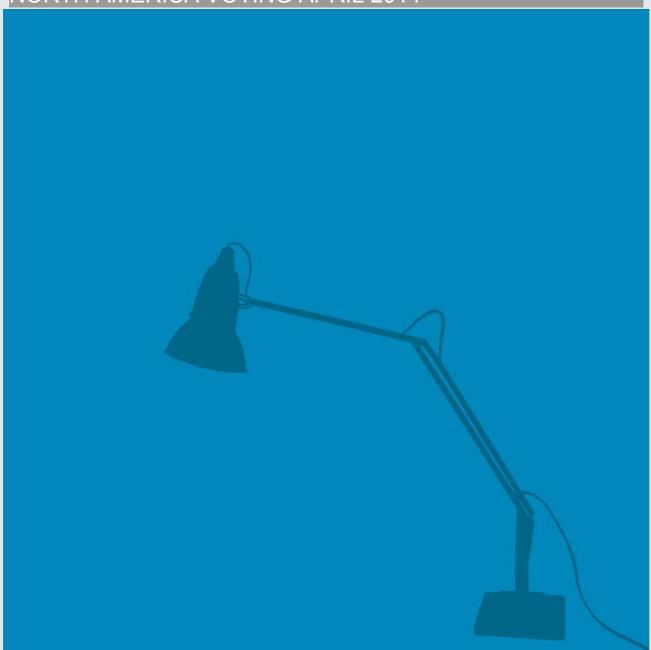
## Voting Report

# LEGAL & GENERAL INVESTMENT MANAGEMENT NORTH AMERICA VOTING APRIL 2014



No warranty is given and no representation is made regarding the accuracy or completeness of the content of this report, and no liability or responsibility is accepted for the information contained in this report.



Date range covered: 04/01/2014 to 04/30/2014

#### **Bank Of Montreal**

Meeting Date: 04/01/2014

Record Date: 01/31/2014

**Country:** Canada **Meeting Type:** Annual Provider Security ID: 063671101

Ticker: BMO

Primary ISIN: CA0636711016

Earliest Cutoff Date: 03/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
| 8                  | SH        | Against             |

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **Bank Of Montreal**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
| 8                  | SH        | Against             |
|                    |           |                     |

### Synopsys, Inc.

 Meeting Date: 04/02/2014
 Country: USA
 Provider Security ID: 871607107

 Record Date: 02/04/2014
 Meeting Type: Annual
 Ticker: SNPS

 Primary ISIN: US8716071076

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### Synopsys, Inc.

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |

### **Delphi Automotive plc**

**Meeting Date:** 04/03/2014 **Record Date:** 02/03/2014

Country: Jersey

Provider Security ID: G27823106

Meeting Type: Annual

Ticker: DLPH

Primary ISIN: JE00B783TY65

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **The Toronto-Dominion Bank**

**Meeting Date:** 04/03/2014

Country: Canada

Primary ISIN: CA8911605092

Provider Security ID: 891160509

Record Date: 02/03/2014

2/03/2014 **Meeting Type:** Annual

Ticker: TD

Earliest Cutoff Date: 04/01/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 1.16               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

| Proposal |           | Vote        |
|----------|-----------|-------------|
| Number   | Proponent | Instruction |

Date range covered: 04/01/2014 to 04/30/2014

### **The Toronto-Dominion Bank**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 1.16               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

### **Bank Of Nova Scotia**

| Meeting Date: 04/08/2014 | Country: Canada            | Provider Security ID: 064149107 |
|--------------------------|----------------------------|---------------------------------|
| Record Date: 02/11/2014  | Meeting Type: Annual       | Ticker: BNS                     |
|                          | Primary ISIN: CA0641491075 |                                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **Bank Of Nova Scotia**

Earliest Cutoff Date: 04/02/2014

| Proposal |           | Vote        |
|----------|-----------|-------------|
| Number   | Proponent | Instruction |
| 1.1      | Mgmt      | For         |
| 1.2      | Mgmt      | For         |
| 1.3      | Mgmt      | For         |
| 1.4      | Mgmt      | For         |
| 1.5      | Mgmt      | For         |
| 1.6      | Mgmt      | For         |
| 1.7      | Mgmt      | For         |
| 1.8      | Mgmt      | For         |
| 1.9      | Mgmt      | For         |
| 1.10     | Mgmt      | For         |
| 1.11     | Mgmt      | For         |
| 1.12     | Mgmt      | For         |
| 1.13     | Mgmt      | For         |
| 1.14     | Mgmt      | For         |
| 2        | Mgmt      | For         |
| 3        | Mgmt      | For         |
|          | Mgmt      |             |
| 4        | SH        | Against     |
| 5        | SH        | Against     |
| 6        | SH        | Against     |
| 7        | SH        | Against     |
| 8        | SH        | Against     |
| 9        | SH        | Against     |

### **The Bank of New York Mellon Corporation**

Meeting Date: 04/08/2014 Record Date: 02/07/2014 **Country:** USA **Meeting Type:** Annual  $\textbf{Provider Security ID:}\ 064058100$ 

Ticker: BK

**Primary ISIN:** US0640581007

Date range covered: 04/01/2014 to 04/30/2014

### **The Bank of New York Mellon Corporation**

Earliest Cutoff Date: 04/07/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |

### **Avago Technologies Limited**

Meeting Date: 04/09/2014Country: SingaporeRecord Date: 02/11/2014Meeting Type: Annual

Provider Security ID: Y0486S104

Ticker: AVGO

Primary ISIN: SG9999006241

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Avago Technologies Limited**

| oposal<br>ımber | Proponent | Vote<br>Instruction |
|-----------------|-----------|---------------------|
| 1e              | Mgmt      | For                 |
| 1f              | Mgmt      | For                 |
| 1g              | Mgmt      | For                 |
| 1h              | Mgmt      | For                 |
| 1i              | Mgmt      | For                 |
| 2               | Mgmt      | For                 |
| 3               | Mgmt      | For                 |
| 4               | Mgmt      | Against             |
| 5               | Mgmt      | For                 |
| 6               | Mgmt      | For                 |
| 7               | Mgmt      | Against             |
| 8               | Mgmt      | For                 |
|                 |           |                     |

### **Fairfax Financial Holdings Limited**

Meeting Date: 04/09/2014Country: CanadaProvider Security ID: 303901102

Record Date: 03/07/2014 Meeting Type: Annual Ticker: FFH

Primary ISIN: CA3039011026

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Lennar Corporation**

Meeting Date: 04/09/2014 Record Date: 02/14/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 526057104

Ticker: LEN

Primary ISIN: US5260571048

Earliest Cutoff Date: 04/08/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | Withhold            |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |
|                    |           |                     |

### **LSI Corporation**

Meeting Date: 04/09/2014 Record Date: 02/10/2014

Country: USA

Meeting Type: Special

Provider Security ID: 502161102

Ticker: LSI

Primary ISIN: US5021611026

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Adobe Systems Incorporated**

Meeting Date: 04/10/2014

Country: USA

Provider Security ID: 00724F101

**Record Date:** 02/14/2014

Meeting Type: Annual

Ticker: ADBE

Primary ISIN: US00724F1012

Earliest Cutoff Date: 04/09/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

#### **National Bank Of Canada**

Meeting Date: 04/10/2014

Country: Canada

Provider Security ID: 633067103

**Record Date:** 02/21/2014

Meeting Type: Annual

Ticker: NA

Primary ISIN: CA6330671034

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.01               | Mgmt      | For                 |
| 1.02               | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **National Bank Of Canada**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.03               | Mgmt      | For                 |
| 1.04               | Mgmt      | For                 |
| 1.05               | Mgmt      | For                 |
| 1.06               | Mgmt      | For                 |
| 1.07               | Mgmt      | For                 |
| 1.08               | Mgmt      | For                 |
| 1.09               | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
|                    |           |                     |

### **Weyerhaeuser Company**

Meeting Date: 04/10/2014Country: USAProvider Security ID: 962166104Record Date: 02/14/2014Meeting Type: AnnualTicker: WY

Primary ISIN: US9621661043

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Weyerhaeuser Company**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### **Mylan Inc.**

Meeting Date: 04/11/2014 Record Date: 02/20/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 628530107

Ticker: MYL

Primary ISIN: US6285301072

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |

Date range covered: 04/01/2014 to 04/30/2014

### **Mylan Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 4                  | SH        | For                 |

### **Fifth Third Bancorp**

Meeting Date: 04/15/2014 Record Date: 02/28/2014 **Country:** USA **Meeting Type:** Annual Provider Security ID: 316773100

Ticker: FITB

Primary ISIN: US3167731005

Earliest Cutoff Date: 04/14/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | Against             |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | One Year            |

### **M&T Bank Corporation**

Meeting Date: 04/15/2014

Record Date: 02/28/2014

Country: USA
Meeting Type: Annual

**Provider Security ID:** 55261F104

Ticker: MTB

Primary ISIN: US55261F1049

Date range covered: 04/01/2014 to 04/30/2014

### **M&T Bank Corporation**

Earliest Cutoff Date: 04/14/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | Withhold            |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### **Moody's Corporation**

Meeting Date: 04/15/2014 Record Date: 02/20/2014 **Country:** USA **Meeting Type:** Annual  $\textbf{Provider Security ID:}\ 615369105$ 

Ticker: MCO

Primary ISIN: US6153691059

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Northern Trust Corporation**

Meeting Date: 04/15/2014 **Record Date:** 02/18/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 665859104

Ticker: NTRS

Primary ISIN: US6658591044

Earliest Cutoff Date: 04/14/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | Withhold            |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | Against             |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

### **Public Service Enterprise Group Incorporated**

Meeting Date: 04/15/2014

Record Date: 02/14/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 744573106

Ticker: PEG

**Primary ISIN:** US7445731067

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Public Service Enterprise Group Incorporated**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3a                 | Mgmt      | For                 |
| 3b                 | Mgmt      | For                 |
| 3c                 | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

### **Spectra Energy Corp**

Meeting Date: 04/15/2014 Record Date: 02/18/2014 Country: USA

**Provider Security ID:** 847560109

Meeting Type: Annual Ticker: SE

Primary ISIN: US8475601097

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Spectra Energy Corp**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |

### **Stanley Black & Decker, Inc.**

Meeting Date: 04/15/2014 Record Date: 02/24/2014 Country: USA

Provider Security ID: 854502101

Meeting Type: Annual

Ticker: SWK

Primary ISIN: US8545021011

Earliest Cutoff Date: 04/14/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### **U.S. Bancorp**

**Meeting Date:** 04/15/2014 **Record Date:** 02/18/2014

**Country:** USA **Meeting Type:** Annual **Provider Security ID:** 902973304

nnual **Ticker:** USB

**Primary ISIN:** US9029733048

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **U.S. Bancorp**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | Against             |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 1n                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

### WHIRLPOOL CORPORATION

**Meeting Date:** 04/15/2014 **Record Date:** 02/18/2014

Country: USA

Provider Security ID: 963320106

Meeting Type: Annual Ticker: WHR

Primary ISIN: US9633201069

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### WHIRLPOOL CORPORATION

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

### C. R. Bard, Inc.

Meeting Date: 04/16/2014 Record Date: 02/24/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 067383109

Ticker: BCR

Primary ISIN: US0673831097

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Commerce Bancshares, Inc.**

Meeting Date: 04/16/2014

Country: USA

Provider Security ID: 200525103

**Record Date:** 02/18/2014

18/2014 **Meeting Type:** Annual

Ticker: CBSH

Primary ISIN: US2005251036

Earliest Cutoff Date: 04/15/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

### **LyondellBasell Industries NV**

Meeting Date: 04/16/2014

Country: Netherlands

Provider Security ID: N53745100

**Record Date:** 03/19/2014

Meeting Type: Annual

Ticker: LYB

Primary ISIN: NL0009434992

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 2a                 | Mgmt      | For                 |
| 2b                 | Mgmt      | For                 |
| 2c                 | Mgmt      | For                 |
| 2d                 | Mgmt      | For                 |
| 2e                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **LyondellBasell Industries NV**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |
| 9                  | Mgmt      | For                 |
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |

### **The Sherwin-Williams Company**

**Meeting Date:** 04/16/2014 **Record Date:** 02/25/2014

Country: USA

Provider Security ID: 824348106

Meeting Type: Annual

Ticker: SHW

Primary ISIN: US8243481061

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Carnival Corporation**

Meeting Date: 04/17/2014

Country: Panama

Provider Security ID: 143658300

**Record Date:** 02/18/2014

Meeting Type: Annual

Ticker: CCL

Primary ISIN: PA1436583006

Earliest Cutoff Date: 04/16/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |
| 9                  | Mgmt      | Against             |
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 12                 | Mgmt      | For                 |
| 13                 | Mgmt      | Against             |
| 14                 | Mgmt      | Against             |
| 15                 | Mgmt      | Against             |
| 16                 | Mgmt      | For                 |
| 17                 | Mgmt      | For                 |
| 18                 | Mgmt      | For                 |
| 19                 | Mgmt      | For                 |

### **Huntington Bancshares Incorporated**

Meeting Date: 04/17/2014 **Record Date:** 02/12/2014

Country: USA Meeting Type: Annual Provider Security ID: 446150104

Ticker: HBAN

**Primary ISIN:** US4461501045

Date range covered: 04/01/2014 to 04/30/2014

### **Huntington Bancshares Incorporated**

Earliest Cutoff Date: 04/16/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### Patterson-UTI Energy, Inc.

**Meeting Date:** 04/17/2014 **Record Date:** 02/20/2014

**Country:** USA **Meeting Type:** Annual

Provider Security ID: 703481101

Ticker: PTEN

Primary ISIN: US7034811015

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | Withhold            |
| 2                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Patterson-UTI Energy, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 3                  | Mgmt      | Against             |
| 4                  | Mgmt      | For                 |

### **People's United Financial, Inc.**

Meeting Date: 04/17/2014 Record Date: 02/19/2014 Country: USA

Provider Security ID: 712704105

Meeting Type: Annual

Ticker: PBCT

Primary ISIN: US7127041058

Earliest Cutoff Date: 04/16/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | Withhold            |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |

### **PPG Industries, Inc.**

Meeting Date: 04/17/2014 Record Date: 02/21/2014 Country: USA

Provider Security ID: 693506107

Meeting Type: Annual

Ticker: PPG

**Primary ISIN:** US6935061076

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **PPG Industries, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | For                 |

### **Texas Instruments Incorporated**

Meeting Date: 04/17/2014 Record Date: 02/18/2014 Country: USA
Meeting Type: Annual

Provider Security ID: 882508104

Ticker: TXN

Primary ISIN: US8825081040

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **The AES Corporation**

Meeting Date: 04/17/2014

Country: USA

Provider Security ID: 00130H105

**Record Date:** 02/21/2014

Meeting Type: Annual

Ticker: AES

Primary ISIN: US00130H1059

Earliest Cutoff Date: 04/16/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | Against             |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### **American Capital Agency Corp.**

Meeting Date: 04/22/2014

Country: USA

Provider Security ID: 02503X105

Record Date: 02/27/2014

Meeting Type: Annual

Primary ISIN: US02503X1054

Ticker: AGNC

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **American Capital Agency Corp.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | Against             |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |

### **American Electric Power Company, Inc.**

Meeting Date: 04/22/2014

Country: USA

Provider Security ID: 025537101

Ticker: AEP

**Record Date:** 02/24/2014

Meeting Type: Annual

**Primary ISIN:** US0255371017

| Proponent | Vote<br>Instruction                     |
|-----------|---|
| Mgmt      | For                                     |
|           | Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt |

Date range covered: 04/01/2014 to 04/30/2014

### **Citigroup Inc.**

Meeting Date: 04/22/2014 Record Date: 02/24/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 172967424

Ticker: C

Primary ISIN: US1729674242

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | Against             |
| 1h                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 1n                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
| 8                  | SH        | Against             |

### **Coca-Cola Enterprises, Inc.**

**Meeting Date:** 04/22/2014 **Record Date:** 02/24/2014

Country: USA
Meeting Type: Annual

**Provider Security ID:** 19122T109

Ticker: CCE

Primary ISIN: US19122T1097

Date range covered: 04/01/2014 to 04/30/2014

### **Coca-Cola Enterprises, Inc.**

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### **Comerica Incorporated**

Meeting Date: 04/22/2014

Country: USA

Provider Security ID: 200340107

**Record Date:** 02/21/2014

Meeting Type: Annual

Ticker: CMA

Primary ISIN: US2003401070

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Comerica Incorporated**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

### **Fastenal Company**

Meeting Date: 04/22/2014 Record Date: 02/21/2014

Country: USA

Provider Security ID: 311900104

Meeting Type: Annual

Ticker: FAST

Primary ISIN: US3119001044

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | Against             |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | Against             |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | Against             |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | Against             |
| 2                  | Mgmt      | Against             |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### **MDU Resources Group, Inc.**

Meeting Date: 04/22/2014

Country: USA

Provider Security ID: 552690109

**Record Date:** 02/25/2014

Meeting Type: Annual

Ticker: MDU

Primary ISIN: US5526901096

Date range covered: 04/01/2014 to 04/30/2014

### **MDU Resources Group, Inc.**

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### MetLife, Inc.

Meeting Date: 04/22/2014 Record Date: 02/28/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 59156R108

Ticker: MET

Primary ISIN: US59156R1086

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### MetLife, Inc.

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
|                    |           |                     |

### **NOBLE ENERGY, INC.**

Meeting Date: 04/22/2014 Record Date: 03/05/2014 Country: USA

Provider Security ID: 655044105

Meeting Type: Annual

Ticker: NBL

Primary ISIN: US6550441058

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### Praxair, Inc.

Meeting Date: 04/22/2014 Record Date: 02/28/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 74005P104

Ticker: PX

Primary ISIN: US74005P1049

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

### **Stryker Corporation**

Meeting Date: 04/22/2014

Record Date: 02/24/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 863667101

Ticker: SYK

Primary ISIN: US8636671013

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Stryker Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### **SunTrust Banks, Inc.**

Meeting Date: 04/22/2014 Record Date: 02/12/2014 Country: USA

Provider Security ID: 867914103

Meeting Type: Annual

Ticker: STI

Primary ISIN: US8679141031

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### The PNC Financial Services Group, Inc.

**Meeting Date:** 04/22/2014

Country: USA

Provider Security ID: 693475105

Record Date: 01/31/2014

01/31/2014

Ticker: PNC

Primary ISIN: US6934751057

Meeting Type: Annual

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | Against             |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |
| 4                  | SH        | Against             |

### **VF Corporation**

**Meeting Date:** 04/22/2014 **Record Date:** 03/03/2014

**Country:** USA **Meeting Type:** Annual Provider Security ID: 918204108

Ticker: VFC

Primary ISIN: US9182041080

Date range covered: 04/01/2014 to 04/30/2014

### **VF Corporation**

Earliest Cutoff Date: 04/21/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

#### Axa

**Meeting Date:** 04/23/2014

Country: France

**Provider Security ID:** F06106102

**Record Date:** 03/10/2014

Meeting Type: Annual/Special

Primary ISIN: FR0000120628

Ticker: CS

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
|                    | Mgmt      |                     |
|                    | Mgmt      |                     |
| 1                  | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |
| 9                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### Axa

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 12                 | Mgmt      | For                 |
| 13                 | Mgmt      | For                 |
| 14                 | Mgmt      | For                 |
| 15                 | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 16                 | Mgmt      | For                 |
| 17                 | Mgmt      | For                 |
| 18                 | Mgmt      | For                 |
| 19                 | Mgmt      | For                 |
| 20                 | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 21                 | Mgmt      | For                 |

# **Canadian National Railway Company**

Meeting Date: 04/23/2014 Record Date: 03/06/2014 Country: Canada
Meeting Type: Annual

**Provider Security ID:** 136375102

Ticker: CNR

Primary ISIN: CA1363751027

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Canadian National Railway Company**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### **Cigna Corporation**

Meeting Date: 04/23/2014 Record Date: 02/24/2014 Country: USA

Provider Security ID: 125509109

Meeting Type: Annual

Ticker: CI

**Primary ISIN:** US1255091092

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **City National Corporation**

**Meeting Date:** 04/23/2014 **Record Date:** 03/07/2014

Country: USA

**Provider Security ID:** 178566105

Meeting Type: Annual

Ticker: CYN

Primary ISIN: US1785661059

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **City National Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | Against             |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |

## **CNA Financial Corporation**

**Meeting Date:** 04/23/2014

Country: USA

Provider Security ID: 126117100

Record Date: 02/28/2014 Meeting Type: Annual

Ticker: CNA

**Primary ISIN:** US1261171003

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### E. I. du Pont de Nemours and Company

Meeting Date: 04/23/2014

Country: USA

Provider Security ID: 263534109

**Record Date:** 02/26/2014

Meeting Type: Annual

Ticker: DD

Primary ISIN: US2635341090

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | Against             |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

### **Eaton Corporation plc**

Meeting Date: 04/23/2014 Record Date: 02/24/2014 **Country:** Ireland **Meeting Type:** Annual

Provider Security ID: G29183103

Ticker: ETN

Primary ISIN: IE00B8KQN827

Date range covered: 04/01/2014 to 04/30/2014

### **Eaton Corporation plc**

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

## **General Electric Company**

Meeting Date: 04/23/2014 Record Date: 02/24/2014 Country: USA
Meeting Type: Annual

Provider Security ID: 369604103

Ticker: GE

Primary ISIN: US3696041033

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **General Electric Company**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |
| 9                  | Mgmt      | For                 |
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 12                 | Mgmt      | For                 |
| 13                 | Mgmt      | For                 |
| 14                 | Mgmt      | For                 |
| 15                 | Mgmt      | For                 |
| 16                 | Mgmt      | For                 |
| 17                 | Mgmt      | For                 |
| 18                 | Mgmt      | For                 |
| 19                 | Mgmt      | For                 |
| 20                 | SH        | Against             |
| 21                 | SH        | Against             |
| 22                 | SH        | Against             |
| 23                 | SH        | Against             |
| 24                 | SH        | Against             |
| 25                 | SH        | Against             |
|                    |           |                     |

## **HCA Holdings, Inc.**

Meeting Date: 04/23/2014 Country: USA Provider Security ID: 40412C101
Record Date: 02/28/2014 Meeting Type: Annual Ticker: HCA

Primary ISIN: US40412C1018

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **HCA Holdings, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

# **NCR Corporation**

| Meeting Date: 04/23/2014       | Country: USA                | Provider Security ID: 62886E108 |
|--------------------------------|-----------------------------|---------------------------------|
| <b>Record Date:</b> 02/11/2014 | Meeting Type: Annual        | Ticker: NCR                     |
|                                | Primary ISIN: 11562886F1082 |                                 |

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

# **Newmont Mining Corporation**

| Meeting Date: 04/23/2014       | Country: USA               | Provider Security ID: 651639106 |
|--------------------------------|----------------------------|---------------------------------|
| <b>Record Date:</b> 02/25/2014 | Meeting Type: Annual       | Ticker: NEM                     |
|                                | Primary ISIN: US6516391066 |                                 |

Date range covered: 04/01/2014 to 04/30/2014

## **Newmont Mining Corporation**

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
|                    |           |                     |

### **Stora Enso Oyj**

**Meeting Date:** 04/23/2014 **Record Date:** 02/05/2014

**Country:** Finland **Meeting Type:** Annual

Provider Security ID:~X8T9CM113

Ticker: STERV

Primary ISIN: FI0009005961

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
|                    | Mgmt      |                     |
| 1                  | Mgmt      |                     |
| 2                  | Mgmt      |                     |
| 3                  | Mgmt      |                     |
| 4                  | Mgmt      |                     |
| 5                  | Mgmt      |                     |
| 6                  | Mgmt      |                     |
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **Stora Enso Oyj**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 9                  | Mgmt      | For                 |
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 12                 | Mgmt      | For                 |
| 13                 | Mgmt      | For                 |
| 14                 | Mgmt      | For                 |
| 15                 | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 16                 | SH        | Against             |
| 17                 | Mgmt      |                     |
| 18                 | Mgmt      |                     |

#### **Teck Resources Limited**

Meeting Date: 04/23/2014 Record Date: 03/03/2014 **Country:** Canada **Meeting Type:** Annual Provider Security ID: 878742204

eting Type: Annual Ticker: TCK.B

Primary ISIN: CA8787422044

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
|                    | Mgmt      |                     |
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **Teck Resources Limited**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **Textron Inc.**

Meeting Date: 04/23/2014 Record Date: 02/28/2014 Country: USA

**Provider Security ID:** 883203101

Meeting Type: Annual

Ticker: TXT

Primary ISIN: US8832031012

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **The Coca-Cola Company**

**Meeting Date:** 04/23/2014 **Record Date:** 02/24/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 191216100

Ticker: KO

Primary ISIN: US1912161007

Earliest Cutoff Date: 04/22/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | Against             |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | For                 |

#### **Ameren Corporation**

Meeting Date: 04/24/2014 Record Date: 02/26/2014 **Country:** USA **Meeting Type:** Annual Provider Security ID: 023608102

Ticker: AEE

Primary ISIN: US0236081024

Date range covered: 04/01/2014 to 04/30/2014

### **Ameren Corporation**

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | For                 |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
|                    |           |                     |

# **Avery Dennison Corporation**

Meeting Date: 04/24/2014
Record Date: 02/24/2014

**Country:** USA **Meeting Type:** Annual **Provider Security ID:** 053611109

Ticker: AVY

Primary ISIN: US0536111091

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Avery Dennison Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

## **Baker Hughes Incorporated**

Meeting Date: 04/24/2014 Record Date: 02/26/2014 Country: USA

**Provider Security ID:** 057224107

Meeting Type: Annual

Ticker: BHI

**Primary ISIN:** US0572241075

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | Withhold            |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Baker Hughes Incorporated**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |

## **Canadian Imperial Bank Of Commerce**

Meeting Date: 04/24/2014

Country: Canada

**Provider Security ID:** 136069101

Record Date: 02/24/2014

02/24/2014 **Meeting Type:** Annual

Ticker: CM

Primary ISIN: CA1360691010

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 1.16               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    | Mgmt      |                     |
| 4                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Canadian Imperial Bank Of Commerce**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
| 8                  | SH        | Against             |

## **Celanese Corporation**

Meeting Date: 04/24/2014

Record Date: 02/24/2014

Country: USA

Provider Security ID: 150870103

Meeting Type: Annual

Ticker: CE

Primary ISIN: US1508701034

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | Against             |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

### **CenterPoint Energy, Inc.**

**Meeting Date:** 04/24/2014 **Record Date:** 02/24/2014

Country: USA

Meeting Type: Annual

**Provider Security ID:** 15189T107

Ticker: CNP

Primary ISIN: US15189T1079

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **CenterPoint Energy, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

## **Edison International**

Meeting Date: 04/24/2014 Record Date: 02/28/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 281020107

Ticker: EIX

Primary ISIN: US2810201077

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      |                     |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | Against             |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Imperial Oil Limited**

Meeting Date: 04/24/2014

Country: Canada

Provider Security ID: 453038408

Record Date: 03/05/2014

Meeting Type: Annual/Special

Ticker: IMO

Primary ISIN: CA4530384086

Earliest Cutoff Date: 04/18/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2.1                | Mgmt      | For                 |
| 2.2                | Mgmt      | For                 |
| 2.3                | Mgmt      | For                 |
| 2.4                | Mgmt      | For                 |
| 2.5                | Mgmt      | For                 |
| 2.6                | Mgmt      | For                 |
| 2.7                | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

## **Intuitive Surgical, Inc.**

Meeting Date: 04/24/2014 Record Date: 02/25/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 46120E602

Ticker: ISRG

Primary ISIN: US46120E6023

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### J.B. Hunt Transport Services, Inc.

Meeting Date: 04/24/2014

Country: USA

Provider Security ID: 445658107

Record Date: 02/18/2014

Meeting Type: Annual

Ticker: JBHT

Primary ISIN: US4456581077

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | Against             |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **Johnson & Johnson**

Meeting Date: 04/24/2014

Country: USA

Provider Security ID: 478160104

**Record Date:** 02/25/2014

Meeting Type: Annual

Primary ISIN: US4781601046

Ticker: JNJ

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

#### **Johnson & Johnson**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | Against             |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | Against             |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |

### **Lockheed Martin Corporation**

Meeting Date: 04/24/2014

Country: USA

Provider Security ID: 539830109

Record Date: 02/21/2014 Meeting Type: Annual

Ticker: LMT

Primary ISIN: US5398301094

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Lockheed Martin Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

#### Pfizer Inc.

**Meeting Date:** 04/24/2014 **Record Date:** 02/26/2014

**Country:** USA **Meeting Type:** Annual **Provider Security ID:** 717081103

Ticker: PFE

**Primary ISIN:** US7170811035

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### **Regions Financial Corporation**

Meeting Date: 04/24/2014

Country: USA

Provider Security ID: 7591EP100

Record Date: 03/03/2014

Meeting Type: Annual

Ticker: RF

Primary ISIN: US7591EP1005

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **SCANA Corporation**

Meeting Date: 04/24/2014 **Record Date:** 03/04/2014

Country: USA

Provider Security ID: 80589M102

Meeting Type: Annual

Ticker: SCG

Primary ISIN: US80589M1027

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **SCANA Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | Against             |

### T. Rowe Price Group, Inc.

Meeting Date: 04/24/2014 Record Date: 02/21/2014 Country: USA

**Provider Security ID:** 74144T108

Meeting Type: Annual

Ticker: TROW

Primary ISIN: US74144T1088

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Torchmark Corporation**

**Meeting Date:** 04/24/2014 **Record Date:** 03/03/2014

Country: USA
Meeting Type: Annual

Provider Security ID: 891027104

 $\textbf{Ticker:} \ \mathsf{TMK}$ 

**Primary ISIN:** US8910271043

Date range covered: 04/01/2014 to 04/30/2014

## **Torchmark Corporation**

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

## **Weingarten Realty Investors**

**Meeting Date:** 04/24/2014 **Record Date:** 02/27/2014

Country: USA

**Provider Security ID:** 948741103

Ticker: WRI

Meeting Type: Annual

Primary ISIN: US9487411038

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Weingarten Realty Investors**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **Abbott Laboratories**

**Meeting Date:** 04/25/2014 **Record Date:** 02/27/2014

Country: USA

Provider Security ID: 002824100

Meeting Type: Annual

Ticker: ABT

Primary ISIN: US0028241000

Earliest Cutoff Date: 04/24/2014

Proposal Vote Number Proponent Instruction 1.1 Mgmt For 1.2 Mgmt For 1.3 Mgmt For 1.4 Mgmt For 1.5 Mgmt Mgmt For 1.6 Mgmt 1.7 For 1.8 Mgmt For 1.9 Mgmt For 1.10 Mgmt For 1.11 Mgmt For Mgmt For Mgmt For SH Against SH Against SH Against

Date range covered: 04/01/2014 to 04/30/2014

### **Alleghany Corporation**

Meeting Date: 04/25/2014

Country: USA

Provider Security ID: 017175100

Record Date: 03/03/2014

Meeting Type: Annual

Ticker: Y

Primary ISIN: US0171751003

Earliest Cutoff Date: 04/24/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### AT&T Inc.

Meeting Date: 04/25/2014

Country: USA

Provider Security ID: 00206R102

Record Date: 02/26/2014

Meeting Type: Annual

Ticker:  $\top$ 

Primary ISIN: US00206R1023

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

#### AT&T Inc.

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | For                 |
|                    |           |                     |

## **Kellogg Company**

Meeting Date: 04/25/2014 Record Date: 02/26/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 487836108

Ticker: K

Primary ISIN: US4878361082

Earliest Cutoff Date: 04/24/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | For                 |

# **Rowan Companies plc**

Meeting Date: 04/25/2014 Record Date: 03/03/2014 **Country:** United Kingdom **Meeting Type:** Annual

Provider Security ID: G7665A101

Ticker: RDC

Primary ISIN: GB00B6SLMV12

Date range covered: 04/01/2014 to 04/30/2014

### **Rowan Companies plc**

Earliest Cutoff Date: 04/23/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | Mgmt      | For                 |
| 7                  | Mgmt      | Against             |
| 8                  | Mgmt      | For                 |
| 9                  | Mgmt      | For                 |
| 10                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 12                 | Mgmt      | For                 |
| 13                 | Mgmt      | For                 |
|                    |           |                     |

### **XL Group plc**

Meeting Date: 04/25/2014 Record Date: 03/05/2014 **Country:** Ireland **Meeting Type:** Annual

Provider Security ID: G98290102

Ticker: XL

Primary ISIN: IE00B5LRLL25

| - |
|---|

Date range covered: 04/01/2014 to 04/30/2014

### **XL Group plc**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 7                  | Mgmt      | For                 |
| 8                  | Mgmt      | For                 |

### **Cincinnati Financial Corporation**

Meeting Date: 04/26/2014 Record Date: 02/28/2014 Country: USA

Provider Security ID: 172062101

Meeting Type: Annual

Ticker: CINF

Primary ISIN: US1720621010

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 1n                 | Mgmt      | For                 |
| 10                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

### Harley-Davidson, Inc.

Meeting Date: 04/26/2014

Country: USA

Provider Security ID: 412822108

Record Date: 02/26/2014

Meeting Type: Annual

Ticker: HOG

Primary ISIN: US4128221086

Earliest Cutoff Date: 04/25/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | Withhold            |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | Against             |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | Against             |
| 5                  | SH        | For                 |

## **Digital Realty Trust, Inc.**

Meeting Date: 04/28/2014

Country: USA

Provider Security ID: 253868103

Record Date: 03/04/2014

Meeting Type: Annual

Ticker: DLR

Primary ISIN: US2538681030

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1A                 | Mgmt      | For                 |
| 1B                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Digital Realty Trust, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1C                 | Mgmt      | For                 |
| 1D                 | Mgmt      | For                 |
| 1E                 | Mgmt      | For                 |
| 1F                 | Mgmt      | For                 |
| 1G                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

## Fortune Brands Home & Security, Inc.

Meeting Date: 04/28/2014 Record Date: 02/27/2014 Country: USA

Provider Security ID: 34964C106

Meeting Type: Annual

Ticker: FBHS

Primary ISIN: US34964C1062

Earliest Cutoff Date: 04/25/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **Genuine Parts Company**

Meeting Date: 04/28/2014 Record Date: 02/18/2014 Country: USA

**Provider Security ID:** 372460105

Meeting Type: Annual

Ticker: GPC

Primary ISIN: US3724601055

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **Genuine Parts Company**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Honeywell International Inc.**

Meeting Date: 04/28/2014 Record Date: 02/28/2014

Country: USA

Provider Security ID: 438516106 Meeting Type: Annual Ticker: HON

Primary ISIN: US4385161066

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1A                 | Mgmt      | For                 |
| 1B                 | Mgmt      | For                 |
| 1C                 | Mgmt      | For                 |
| 1D                 | Mgmt      | For                 |
| 1E                 | Mgmt      | For                 |
| 1F                 | Mgmt      | For                 |
| 1G                 | Mgmt      | For                 |
| 1H                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **Honeywell International Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1)                 | Mgmt      | For                 |
| 1K                 | Mgmt      | For                 |
| 1L                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

# **MeadWestvaco Corporation**

**Meeting Date:** 04/28/2014 **Record Date:** 02/28/2014

Country: USA

Provider Security ID: 583334107

Meeting Type: Annual

Ticker: MWV

Primary ISIN: US5833341077

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | Against             |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

### **The Boeing Company**

Meeting Date: 04/28/2014

Country: USA

Provider Security ID: 097023105

**Record Date:** 02/27/2014

Meeting Type: Annual

Ticker: BA

Primary ISIN: US0970231058

Earliest Cutoff Date: 04/25/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| ij                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |

## **United Technologies Corporation**

Meeting Date: 04/28/2014 Record Date: 03/03/2014

Country: USA Meeting Type: Annual Provider Security ID: 913017109

Ticker: UTX

Primary ISIN: US9130171096

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

## **United Technologies Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | Against             |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

#### **APARTMENT INVESTMENT AND MANAGEMENT COMPANY**

Meeting Date: 04/29/2014 Country: USA Provider Security ID: 03748R101 Ticker: AIV

Record Date: 02/21/2014 Meeting Type: Annual

Primary ISIN: US03748R1014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |

Date range covered: 04/01/2014 to 04/30/2014

## **BB&T Corporation**

Meeting Date: 04/29/2014

Country: USA

Provider Security ID: 054937107

**Record Date:** 02/19/2014

Meeting Type: Annual

Ticker: BBT

Primary ISIN: US0549371070

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | Withhold            |
| 1.4                | Mgmt      | Withhold            |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | Withhold            |
| 1.14               | Mgmt      | For                 |
| 1.15               | Mgmt      | For                 |
| 1.16               | Mgmt      | For                 |
| 1.17               | Mgmt      | For                 |
| 1.18               | Mgmt      | Withhold            |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | For                 |
| 6                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **Cobalt International Energy, Inc.**

Meeting Date: 04/29/2014

Country: USA

**Provider Security ID:** 19075F106

**Record Date:** 03/07/2014

Meeting Type: Annual

Ticker: CIE

Primary ISIN: US19075F1066

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | Withhold            |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | Withhold            |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Corning Incorporated**

Meeting Date: 04/29/2014

Country: USA

Provider Security ID: 219350105

**Record Date:** 02/28/2014

Meeting Type: Annual

Ticker: GLW

Primary ISIN: US2193501051

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | Against             |
| 1.5                | Mgmt      | Against             |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **Corning Incorporated**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

#### **DIRECTV**

Meeting Date: 04/29/2014

Country: USA

**Provider Security ID:** 25490A309

Record Date: 03/03/2014

Meeting Type: Annual

Ticker: DTV

Primary ISIN: US25490A3095

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **FMC Corporation**

Meeting Date: 04/29/2014

Country: USA

Provider Security ID: 302491303

Record Date: 03/04/2014

3/04/2014 **Meeting Type:** Annual

Ticker: FMC

Primary ISIN: US3024913036

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

#### **Humana Inc.**

Meeting Date: 04/29/2014

Country: USA

Provider Security ID: 444859102

**Record Date:** 02/28/2014

Meeting Type: Annual

Ticker: HUM

Primary ISIN: US4448591028

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **International Business Machines Corporation**

Meeting Date: 04/29/2014 Record Date: 02/28/2014 Country: USA
Meeting Type: Annual

**Provider Security ID:** 459200101

4 Me

Ticker: IBM

Primary ISIN: US4592001014

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | SH        | Against             |
| 7                  | SH        | Against             |
| 8                  | SH        | Against             |

# ManpowerGroup Inc.

**Meeting Date:** 04/29/2014 **Record Date:** 02/18/2014

Country: USA

Meeting Type: Annual

**Provider Security ID:** 56418H100

Ticker: MAN

Primary ISIN: US56418H1005

Date range covered: 04/01/2014 to 04/30/2014

# **ManpowerGroup Inc.**

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

#### **PACCAR Inc**

**Meeting Date:** 04/29/2014 **Record Date:** 03/04/2014

**Country:** USA **Meeting Type:** Annual

Provider Security ID: 693718108

Annual Ticker: PCAR

Primary ISIN: US6937181088

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | Against             |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | Against             |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

# **Southern Copper Corporation**

**Meeting Date:** 04/29/2014 **Record Date:** 03/04/2014

Country: USA
Meeting Type: Annual

Provider Security ID: 84265V105

Ticker: SCCO

Primary ISIN: US84265V1052

Date range covered: 04/01/2014 to 04/30/2014

# **Southern Copper Corporation**

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | Withhold            |
| 1.3                | Mgmt      | Withhold            |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | Withhold            |
| 1.7                | Mgmt      | Withhold            |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Suncor Energy Inc**

Meeting Date: 04/29/2014

Country: Canada

Provider Security ID: 867224107

Record Date: 03/03/2014

Meeting Type: Annual

Ticker: SU

**Primary ISIN:** CA8672241079

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **Suncor Energy Inc**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 1.13               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Teradata Corporation**

Meeting Date: 04/29/2014

Record Date: 02/28/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 88076W103

Ticker: TDC

Primary ISIN: US88076W1036

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

# **The Chubb Corporation**

**Meeting Date:** 04/29/2014 **Record Date:** 03/03/2014

**Country:** USA **Meeting Type:** Annual Provider Security ID: 171232101

Ticker: CB

Primary ISIN: US1712321017

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **The Chubb Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 1n                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | SH        | Against             |
|                    |           |                     |

# **The Hershey Company**

**Meeting Date:** 04/29/2014 **Record Date:** 02/28/2014

Country: USA
Meeting Type: Annual

**Provider Security ID:** 427866108

Ticker: HSY

Primary ISIN: US4278661081

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **The Hershey Company**

| Proponent | Vote<br>Instruction                |
|-----------|------------------------------------|
| Mgmt      | Withhold                           |
| Mgmt      | Withhold                           |
| Mgmt      | For                                |
|           | Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt |

# **TransAlta Corporation**

Meeting Date: 04/29/2014 Record Date: 03/05/2014 Country: Canada

Meeting Type: Annual/Special

Provider Security ID: 89346D107

Ticker: TA

Primary ISIN: CA89346D1078

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **United States Steel Corporation**

Meeting Date: 04/29/2014

Country: USA

Provider Security ID: 912909108

**Record Date:** 02/28/2014

02/28/2014 Meeting Type: Annual

Ticker: X

Primary ISIN: US9129091081

Earliest Cutoff Date: 04/28/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |

### **Wells Fargo & Company**

Meeting Date: 04/29/2014 Record Date: 03/04/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 949746101

Ticker: WFC

**Primary ISIN:** US9497461015

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **Wells Fargo & Company**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 1n                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |

# **Ameriprise Financial, Inc.**

Meeting Date: 04/30/2014 Record Date: 03/04/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 03076C106

Ticker: AMP

Primary ISIN: US03076C1062

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | Against             |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | SH        | Against             |

Date range covered: 04/01/2014 to 04/30/2014

# **Ball Corporation**

**Meeting Date:** 04/30/2014 **Record Date:** 03/03/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 058498106

Ticker: BLL

Primary ISIN: US0584981064

Earliest Cutoff Date: 04/29/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

# **Barrick Gold Corporation**

**Meeting Date:** 04/30/2014 **Record Date:** 03/01/2014

Country: Canada

Meeting Type: Annual/Special

Provider Security ID: 067901108

Ticker: ABX

Primary ISIN: CA0679011084

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **Barrick Gold Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

Earliest Cutoff Date: 04/24/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |

# **BorgWarner Inc.**

| Meeting Date: 04/30/2014 | Country: USA                      | Provider Security ID: 099724106 |
|--------------------------|-----------------------------------|---------------------------------|
| Record Date: 03/03/2014  | Meeting Type: Annual              | Ticker: BWA                     |
|                          | <b>Primary ISIN:</b> US0997241064 |                                 |

Date range covered: 04/01/2014 to 04/30/2014

# **BorgWarner Inc.**

Earliest Cutoff Date: 04/29/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | SH        | For                 |

# **Canadian Oil Sands Limited**

Meeting Date: 04/30/2014 Record Date: 03/17/2014 Country: Canada

**Provider Security ID:** 13643E105

Meeting Type: Annual Ticker: COS

Primary ISIN: CA13643E1051

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1                  | Mgmt      | For                 |
| 2.1                | Mgmt      | For                 |
| 2.2                | Mgmt      | For                 |
| 2.3                | Mgmt      | For                 |
| 2.4                | Mgmt      | For                 |
| 2.5                | Mgmt      | For                 |
| 2.6                | Mgmt      | For                 |
| 2.7                | Mgmt      | For                 |
| 2.8                | Mgmt      | For                 |
| 2.9                | Mgmt      | For                 |
| 2.10               | Mgmt      | For                 |
| 2.11               | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **Cenovus Energy Inc.**

Meeting Date: 04/30/2014

Country: Canada

Provider Security ID: 15135U109

**Record Date:** 03/07/2014

Meeting Type: Annual

Ticker: CVE

Primary ISIN: CA15135U1093

Earliest Cutoff Date: 04/24/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |

# **Duke Realty Corporation**

Meeting Date: 04/30/2014 Record Date: 02/24/2014

Country: USA

Meeting Type: Annual

Provider Security ID: 264411505

Ticker: DRE

Primary ISIN: US2644115055

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **Duke Realty Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
| 11                 | Mgmt      | For                 |
| 1m                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4a                 | Mgmt      | For                 |
| 4b                 | Mgmt      | For                 |
| 4c                 | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
|                    |           |                     |

# **EMC Corporation**

Meeting Date: 04/30/2014 Record Date: 02/28/2014 **Country:** USA **Meeting Type:** Annual Provider Security ID: 268648102

Ticker: EMC

Primary ISIN: US2686481027

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 1j                 | Mgmt      | For                 |
| 1k                 | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# **EMC Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | Against             |

# **EQT Corporation**

Meeting Date: 04/30/2014 Record Date: 03/05/2014 Country: USA

Provider Security ID: 26884L109

Meeting Type: Annual

Ticker: EQT

Primary ISIN: US26884L1098

Earliest Cutoff Date: 04/29/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | Against             |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
|                    |           |                     |

# **Marathon Oil Corporation**

Meeting Date: 04/30/2014 Record Date: 03/03/2014 Country: USA

**Provider Security ID:** 565849106

Meeting Type: Annual

Ticker: MRO

Primary ISIN: US5658491064

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | Against             |

Date range covered: 04/01/2014 to 04/30/2014

# **Marathon Oil Corporation**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | Against             |
| 1e                 | Mgmt      | For                 |
| 1f                 | Mgmt      | For                 |
| 1g                 | Mgmt      | For                 |
| 1h                 | Mgmt      | For                 |
| 1i                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |
| 5                  | SH        | Against             |
|                    |           |                     |

# **Marathon Petroleum Corporation**

**Meeting Date:** 04/30/2014 **Record Date:** 03/03/2014

Country: USA

**Provider Security ID:** 56585A102

Meeting Type: Annual

Ticker: MPC

Primary ISIN: US56585A1025

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1a                 | Mgmt      | For                 |
| 1b                 | Mgmt      | For                 |
| 1c                 | Mgmt      | For                 |
| 1d                 | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | Against             |
| 5                  | SH        | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

# McGraw Hill Financial, Inc.

Meeting Date: 04/30/2014

Country: USA

Provider Security ID: 580645109

**Record Date:** 03/10/2014

Meeting Type: Annual

Ticker: MHFI

Primary ISIN: US5806451093

Earliest Cutoff Date: 04/29/2014

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 1.11               | Mgmt      | For                 |
| 1.12               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | SH        | For                 |

### **TECO Energy, Inc.**

Meeting Date: 04/30/2014

Country: USA

Provider Security ID: 872375100

**Record Date:** 02/21/2014

Meeting Type: Annual

Ticker: TE

Primary ISIN: US8723751009

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |

Date range covered: 04/01/2014 to 04/30/2014

# **TECO Energy, Inc.**

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
| 5                  | Mgmt      | For                 |
| 6                  | SH        | For                 |

# W.W. Grainger, Inc.

Meeting Date: 04/30/2014 Record Date: 03/03/2014 Country: USA

Meeting Type: Annual

Provider Security ID: 384802104

Ticker: GWW

Primary ISIN: US3848021040

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 1.9                | Mgmt      | For                 |
| 1.10               | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
|                    |           |                     |

Date range covered: 04/01/2014 to 04/30/2014

#### Yamana Gold Inc.

**Meeting Date:** 04/30/2014

Country: Canada

**Provider Security ID:** 98462Y100

**Record Date:** 03/07/2014

2014 **Meeting Type:** Annual/Special

Ticker: YRI

Primary ISIN: CA98462Y1007

| Proposal<br>Number | Proponent | Vote<br>Instruction |
|--------------------|-----------|---------------------|
| 1.1                | Mgmt      | For                 |
| 1.2                | Mgmt      | For                 |
| 1.3                | Mgmt      | For                 |
| 1.4                | Mgmt      | For                 |
| 1.5                | Mgmt      | For                 |
| 1.6                | Mgmt      | For                 |
| 1.7                | Mgmt      | For                 |
| 1.8                | Mgmt      | For                 |
| 2                  | Mgmt      | For                 |
| 3                  | Mgmt      | For                 |
| 4                  | Mgmt      | For                 |
|                    |           |                     |

Registered Office: Legal & General Investment Management Limited One Coleman Street, London EC2R 5AA

+44 (0) 20 3124 4444

Authorised and Regulated by the Financial Conduct Authority

Copyright© Legal & General Investment Management 2015